

#5-1-18-02-AT  
Rec'd PCT/PTO 19 NOV 2001

09/926062 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Inventor: PLASCHKA et al.

Examiner: Unassigned

Serial No: 09/926,062

Art Unit: Unassigned

Filed: August 23, 2001

Atty Dkt: PLAS3002

For: VALUE DOCUMENT

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Rule 37 C.F.R. §§ 1.51(b), 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith.

**ADDITIONAL DOCUMENTS OR INFORMATION NOT LISTED ON  
ACCOMPANYING FORM PTO-1449 SUBMITTED FOR CONSIDERATION**

International Search Report dated June 8, 2000 in related PCT application.

German Search Report dated October 13, 1999 in related German application  
199 07 697.9 filed February 23, 1999.

**CONCISE STATEMENT OF RELEVANCY**  
**(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)**

The documents mentioned on the accompanying Form PTO-1449 are mentioned in the International Search Report dated June 8, 2000 and the German Search Report dated October 13, 1999 that accompanies this paper. The relevancy of the documents is evident from the International Search Report and the German Search Report.

All of the documents relate to data carriers having security elements.

**STATEMENT REGARDING TRANSLATIONS**

English language translations other than abstracts are not presently available for the non-English language documents.

DE 197 31 968 is equivalent to WO 99/04983 which includes an English language abstract on the face of the document submitted herewith.

DE 197 18 859 is equivalent to WO 98/50238 which includes an English language abstract on the face of the document submitted herewith.

DE 196 39 299 is accompanied with an English language translation of the abstract submitted herewith.

DE 195 48 528 is equivalent to WO 97/23357 which includes an English language abstract on the face of the document submitted herewith.

DE 39 42 663 is accompanied with an English language translation of the abstract submitted herewith.

WO 90/13877 includes an English language abstract on the face of the document submitted herewith.

**STATEMENT OF CUMULATIVE INFORMATION**

Some of the documents identified on the PTO-1449 form are equivalent to those documents mentioned in the German Search Report and the International Search Report.

U.S. Patent No. 5,714,231 is equivalent to DE 43 135 21.

U.S. Patent No. 4,631,222 is equivalent to DE 34 22 910.

U.S. Patent No. 6,036,232 is equivalent to DE 196 11 383.

U.S. Patent No. 6,284,396 is equivalent to DE 195 29 171.

U.S. Patent No. 5,631,039 is equivalent to DE 695 05 539.

U.S. Patent No. 5,166,283 is equivalent to DE 38 56 001.

Canadian Patent No. 2,032,587 is equivalent to EP 0 435 029.

**STATEMENT OF TIMELINESS**

This Information Disclosure Statement is submitted within three months of (i) the filing date of the above-identified U.S. National Patent application, or (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

**CERTIFICATION**

It is hereby certified that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,  
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Date: November 16, 2001

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B/O Form PTO-1449  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	Atty. Docket Number <b>PLAS3002/JEK</b>	Serial Number <b>09/926,062</b>
	Applicant <b>Reinhard PLASCHKA et al.</b>	
	Filing Date <b>23 August 2001</b>	Group <b>unassigned</b>

## U.S. Patent Documents

Examiner Initial	Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
	4,514,085	April 1985	Kaye			
	4,631,222	Dec. 1986	Sander			
	5,166,283	Nov. 1992	Nishikawa et al.			
	5,422,744	June 1995	Katz et al.			
	5,631,039	May 1997	Knight et al.			
	5,714,231	Feb. 1998	Reinhart			
	6,036,232	March 2000	Kaule et al.			
	6,284,396	Sept. 2001	Kaule et al.			

## Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
	197 31 968	Jan. 1999	Germany				X
	197 18 859	Nov. 1998	Germany				X
	196 39 229	June 1997	Germany (w/English abstract)				X
	195 48 528	June 1997	Germany				X
	39 42 663	June 1991	Germany (w/English abstract)				X
	0 383 376	Aug. 1990	Europe				
	90/13877	Nov. 1990	WIPO				X
	43 13 521	June 1994	Germany				X
	34 22 910	Sept. 1985	Germany				X
	196 11 383	Sept. 1997	Germany				X
	195 29 171	Feb. 1997	Germany				X
	695 05 539	June 1999	Germany				X
	38 56 001	March 1998	Germany				X
	0 604 900	July 1994	Europe				
	0 683 471	Nov. 1990	Europe				
	93/125 06	June 1999	WIPO				
	2,032,587	July 1996	Canada				
	0 806 457	Nov. 1997	Europe				

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	Applicant <b>Reinhard PLASCHKA et al.</b>	
	Filing Date <b>23 August 2001</b>	Group <b>unassigned</b>

**Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)**

		MAKOW, David: REFLECTION AND TRANSMISSION OF POLYMER LIQUID-CRYSTAL COATINGS AND THEIR APPLICATION TO DECORATIVE ARTS AND STAINED GLASS; In: COLOR research and application; Vol. 11, No. 3, 1986, S. 205 - 208

Examiner	Date Considered
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**EXAMINER:** Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.